SEC Form 4

П

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL										
OMB Number:	3235-0287									
Estimated average burde	en									
hours per response:	0.5									

1. Name and Address of Reporting Person [*] SUGAR RONALD D			2. Issuer Name and Ticker or Trading Symbol <u>NORTHROP GRUMMAN CORP /DE/</u> [NOC]		ationship of Reporting k all applicable) Director Officer (give title	(s) to Issuer 10% Owner Other (specify	
(Last) (First) 1840 CENTURY PARK EAST		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/13/2009	1	below) Chief Executive O	below) ⁷ Chairman	
(Street) LOS ANGELES (City)	CA (State)	90067 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indir Line) X	vidual or Joint/Group F Form filed by One I Form filed by More Person	Reporti	ing Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V		Amount	nount (A) or Price		Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	11/13/2009		M ⁽¹⁾		60,000	A	\$48.5	325,229 ⁽²⁾	D	
Common Stock	11/13/2009		S ⁽¹⁾		60,000	D	\$55	265,229 ⁽²⁾	D	
Common Stock								409,000	Ι	Trustee: The Sugar FamilyTrust U/A/D 7/19/01

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

														/	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) Disp of (I	umber ivative urities uired or oosed O) (Instr. and 5)	(Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right-to- Buy)	\$48.5	11/13/2009		М			60,000	09/19/2002	09/19/2011	Common	60,000	\$48.5	0	D	

Explanation of Responses:

1. Exercise and sell of shares transaction pursuant to Rule 10b5-1 Trading Plan adopted by reporting person on March 12, 2009.

2. Total includes 83,600 unvested Restricted Performance Stock Rights (RPSRs) granted under the 2001 Long-Term Incentive Stock Plan (LTISP) on 2/28/07 with the valuation of performance measurement period ("measurement period") ending on 12/31/09; 81,329 RPSRs granted under the 2001 LTISP on 2/27/08 with measurement period ending on 12/31/10; and 100,300 RPSRs granted under the 2001 LTISP on 2/17/09 with the measurement period ending on 12/31/10; and 100,300 RPSRs granted under the 2001 LTISP on 2/17/09 with the measurement period ending on 12/31/11. Grants awarded pursuant to Rule 16b-3(d).

/s/ Kathleen M. Salmas, Attorney-in-fact for Ronald D. <u>11/13/2009</u>

<u>Sugar</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.