| SEC Form 4 |  |
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |

|  | Check this box if no longer subject to<br>Section 16. Form 4 or Form 5<br>obligations may continue. See<br>Instruction 1(b). | STATE |
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup><br>Bromberg Matthew Fox   |   |  |  |        | 2. Issuer Name and Ticker or Trading Symbol <u>NORTHROP GRUMMAN CORP /DE/</u> [ NOC ] |       |         |   |            |       |   |   | (Che                                       | 5. Relationship of Reporting Person(s) to Issue<br>(Check all applicable)<br>Director 10% Own<br>Cofficer (give title Other (spe |  |   |  | vner   |   |
|--|---|--|--|--------|---|-------|---------|---|------------|-------|---|---|--|--|--|---|--|--|---|
| (Last) (First) (Middle)<br>2980 FAIRVIEW PARK DRIVE  |   |  |  |        | 3. Date of Earliest Transaction (Month/Day/Year)<br>05/04/2022                        |       |         |   |            |       |   |   |  | below)   | VP, Glob   | al Op   | below)   |  |   |
| (Street)<br>FALLS<br>CHURCH<br>(City)  | I VA<br>(Sta  |  | 2042<br>Zip)   |        | 4. If A   | mendn | nent, D | oate of   | f Original | Filed | (Month/Da   | y/Year)                                       |  | Line   | Form fi  | led by One<br>led by Mor                            | e Repo   | (Check App<br>orting Person<br>One Repor                           | n |
|  |   | Table                                      | e I - Non-D  | Deriva | tive \$   | Secu  | rities  | Acq   | quired,    | Dis   | posed o   | f, or B                                       | ene  | ficiall  | y Owned  |   |  |  |   |
| 1. Title of Security (Instr. 3) 2. Transa<br>Date<br>(Month/D  |   |  |  | ate    | Execution Date,   |       |         | 3.<br>Transaction<br>Code (Instr.<br>8)<br>4. Securities Acquired (A)<br>Disposed Of (D) (Instr. 3, 4<br>5) |            |       |   | 5. Amour<br>Securitie<br>Beneficia<br>Owned F | es Form<br>ally (D) o<br>Following (I) (Ir |  | : Direct<br>Indirect<br>str. 4)  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |  |  |   |
|  |   |  |  |        |   |       |         |   | Code       | v     | Amount  | (A)<br>(D)                                    | or   | Price Reported<br>Transaction(s)<br>(Instr. 3 and 4)   |  |   |  | (Instr. 4)   |   |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities) |   |  |  |        |   |       |         |   |            |       |   |   |  |  |  |   |  |  |   |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Da<br>if any<br>(Month/Day/Y | ate, T | Transaction of E  |       |         | Expiration Date<br>(Month/Day/Year)   |            |       | 7. Title<br>Amoun<br>Securiti<br>Underly<br>Derivati<br>(Instr. 3 | t of<br>ies<br>ving<br>ive Se<br>and 4        |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)  | 9. Number<br>derivative<br>Securitie<br>Beneficia<br>Owned<br>Following<br>Reported<br>Transacti<br>(Instr. 4) | e<br>s<br>illy<br>g                                 | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |

## Restricted Stock Rights (2) 05/04/2022 A 6,550 Explanation of Responses: 1 Explanation of Responses: 1

1. Each Restricted Performance Stock Right ("RPSR") represents a contingent right to receive an equivalent number of shares of Issuer common stock, or, at the Issuer's election, cash or a combination of cash and Issuer common stock. The RPSRs vest if the applicable performance metric is satisfied for the relevant measurement period. The RPSRs were granted under the 2011 Long-Term Incentive Stock Plan ("LTISP") on 5/4/22 with a measurement period ending on 12/31/24. Grants awarded pursuant to Rule 16b-3(d).

Date Exercisable

(1)

(2)

Expiration Date

(1)

(2)

Title

Commor

Stock

Commor Stock

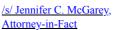
2. Each Restricted Stock Right ("RSR") represents a contingent right to receive an equivalent number of shares in Issuer common stock, or, at the election of the Issuer's Compensation Committee, cash or a combination of cash and Issuer common stock. The RSRs were granted under the LTISP on 5/4/22. 2,720 of the RSRs will vest on 5/4/23, 2,266 will vest on 5/6/24 and 1,564 will vest on 5/5/25.

**Remarks:** 

Restricted

Performanc

Stock Rights Restricted (1)



Number

3,366

6,550

\$<mark>0</mark>

\$<mark>0</mark>

of Shares

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

05/04/2022

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V

А

(A)

3,366

(D)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

05/06/2022

3,366

6,550

D

D