SEC Form 4
FORM

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See
Instruction 1(b).

1	Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equily securities of the issuer that is intended to earlief, the affirmative
	intended to satisfy the affirmative defense conditions of Rule 10b5-
	1(c). See Instruction 10.

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL OMB Number: 3235-0287

Estimated average burden hours per response: 0.5

WELSH MARK A III		on <sup>*</sup>	2. Issuer Name and Ticker or Trading Symbol NORTHROP GRUMMAN CORP /DE/	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
WELSH MA	<u>KK A III</u>		NOC	1	Director	10% Owner		
(Last)	(First)	(Middle)			Officer (give title below)	Other (specify below)		
2980 FAIRVIEW PARK DRIVE			3. Date of Earliest Transaction (Month/Day/Year) 08/29/2024					
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joint/Group Filing	(Check Applicable		
FALLS CHURCH	VA	22042			Form filed by One Repor	ting Person		
					Form filed by More than Person	One Reporting		
(City)	(State)	(Zip)						

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	08/29/2024		S		2(1)	D	\$512.22	4,304	D	
Common Stock	08/29/2024		S		<b>9</b> <sup>(1)</sup>	D	\$513.36 <sup>(2)</sup>	4,295	D	
Common Stock	08/29/2024		S		<b>2</b> <sup>(1)</sup>	D	\$515.5	4,293	D	
Common Stock	08/29/2024		S		8(1)	D	\$516.77 <sup>(3)</sup>	4,285	D	
Common Stock	08/29/2024		S		<b>5</b> <sup>(1)</sup>	D	<b>\$</b> 517.51 <sup>(4)</sup>	4,280	D	
Common Stock	08/29/2024		s		8(1)	D	<b>\$</b> 518.57 <sup>(5)</sup>	4,272	D	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Month/Day/Year) Disposed of (D) (Instr. 3, 4 and 5)		ate	Amount of Securities		Derivative Security	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

#### Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.

2. Represents the weighted average sale price of \$513.36 rounded to the nearest hundredth. The highest price at which the shares were sold was \$513.94 and the lowest price at which the shares were sold was \$513.13. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote in this Form 4.

3. Represents the weighted average sale price of \$516.77 rounded to the nearest hundredth. The highest price at which the shares were sold was \$516.98 and the lowest price at which the shares were sold was \$516.41. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote in this Form 4.

4. Represents the weighted average sale price of \$517.51 rounded to the nearest hundredth. The highest price at which the shares were sold was \$517.68 and the lowest price at which the shares were sold was \$517.15. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote in this Form 4.

5. Represents the weighted average sale price of \$518.57 rounded to the nearest hundredth. The highest price at which the shares were sold was \$518.82 and the lowest price at which the shares were sold was \$518.25. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote in this Form 4.

#### Remarks:

/s/ Jennifer C. McGarey, Attorney-in-Fact

09/03/2024

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.