### FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| OMB APPROVAL             |           |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

# Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Warden Kathy J   |   |  |   |   | 2. Issuer Name and Ticker or Trading Symbol NORTHROP GRUMMAN CORP /DE/ [ NOC ] |  |              |  |               |  |                 |   | Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director 10% Owner |  |  |   |          |  |
|--|---|--|---|---|--|--|--------------|--|---------------|--|-----------------|---|---|--|--|---|----------|--|
| (Last)<br>2980 FAIF  | •   | irst)<br>RK DRIVE                          |   | 3. Date of Earliest Transaction (Month/Day/Year) 02/14/2024   |  |  |              |  |               |  | X               | Officer (give title Other (specify below)  Chair, CEO and President   |   |  |  |   |          |  |
| (Street) FALLS CHURCH VA 22042   |   |  |   | 4. If Amendment, Date of Original Filed (Month/Day/Year)  |  |  |              |  |               |  | 6. Individ      | S. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person |   |  |  |   |          |  |
| (City)   | (S  | state)                                     | that a tra  | Ansaction Indication  at a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the ns of Rule 10b5-1(c). See Instruction 10. |  |  |              |  |               |  |                 |   |   |  |  |   |          |  |
| 1. Title of Security (Instr. 3) 2. Tra   |   |  |   |   | nsaction 2A. Deemed Execution Date, if any (Month/Day/Year)                    |  | 3.<br>Transa | Transaction Disposed Of (D) (Instr. 3, 4 are Code (Instr.      |               |  | or              | 5. Amount of  |   |  |  | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |          |  |
|  |   |  |   |   |  |  |              | Code   | v             | Amount   | (A) or<br>(D)   | Price   | (Instr. 3 and 4)  |  |  | "   | nstr. 4) |  |
| Common Stock 02  |   |  |   | 02/14   | 4/2024   |  | M            |  | 45,832.05     | A  | \$0             | 207,063.13  |   | D  |  |   |          |  |
| Common Stock 02  |   |  |   | 02/14   | 4/2024   |  |              | F  |               | 20,335   | D               | \$446.37  | 186,728.13  |  | D  |   |          |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |   |  |   |   |  |  |              |  |               |  |                 |   |   |  |  |   |          |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8)  |  | 5. Number of<br>Derivative Securities<br>Acquired (A) or<br>Disposed of (D) (Instr.<br>3, 4 and 5) |              | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |               | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                 | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)   | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following                |  | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4)                 |          |  |
|  | Cocurty   |  |   | Code  | v  | (A)  | (D)          | Date<br>Exe  | e<br>rcisable | Expiration<br>Date   | Title           | Amount or<br>Number of<br>Shares  |   | Reported<br>Transaction(s)<br>(Instr. 4) |  | (.) (   |          |  |
| Restricted<br>Stock Rights   | (1)   | 02/14/2024                                 |   | A   |  | 11,155   |              | (1)  |               | (1)  | Common<br>Stock | 11,155  | \$0   | 48,                                      | 363  | D   |          |  |
| Restricted<br>Performance<br>Stock Rights  | (2)   | 02/14/2024                                 |   | A   |  | 37,636.05 <sup>(3)</sup>   |              |  | (2)           | (2)  | Common<br>Stock | 37,636.05   | \$0   | 119,0                                    | 44.05  | D   |          |  |

#### Explanation of Responses:

1. Each Restricted Stock Right ("RSR") represents a contingent right to receive an equivalent number of shares in Issuer common stock, or, at the election of the Issuer's Compensation Committee, cash or a combination of cash and Issuer common stock. The RSRs were granted under the Long-Term Incentive Stock Plan ("LTISP") on 2/14/24 and will vest on 2/14/27.

(2)

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- 2. Each Restricted Performance Stock Right ("RPSR") represents a contingent right to receive an equivalent number of shares of Issuer common stock, or, at the Issuer's election, cash or a combination of cash and Issuer common stock. The RPSRs vest if the applicable performance metric is satisfied for the relevant measurement period. Grants awarded pursuant to Rule 16b-3(d).
- 3. The RPSRs acquired include (i) 13,327.05 vested RPSRs with respect to the measurement period ended 12/31/23 acquired due to settlement of the RPSRs granted under the LTISP on 2/16/21 that resulted in settlement at 141% of the target award; and (ii) 24,309 unvested RPSRs granted under the LTISP on 2/14/24 with a measurement period ending on 12/31/26. A total of 45,832.05 shares were issued in settlement of the 2021 RPSRs with a measurement period that ended 12/31/23, and the target award amount of 32,505 RPSRs was previously reported in connection with the grant of the 2021 RPSRs.

#### Remarks:

Restricted

Stock Rights

Perfor

/s/ Jennifer C. McGarey, Attorney-in-Fact

02/16/2024

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D

\*\* Signature of Reporting Person Date

45,832.05

\$0

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

02/14/2024

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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