FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | |
|--------------|----------|--|--|--|--|--|--|--|
| OMB Number: | 3235-028 | | | | | | | |

87 Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

| | | | | | or | Section | 1 30(h) d | of the | Inves | tment | Company Act | of 1940 | | | | | | | |
|---|-------------|--------|--|-----------|---|---|-----------------------|---|--------------------|---|---|---------------|--|--|---|---|--|--|--|
| 1. Name and Address of Reporting Person* <u>Caylor Mark A</u> | | | | | <u>N</u> (| 2. Issuer Name and Ticker or Trading Symbol NORTHROP GRUMMAN CORP /DE/ NOC] | | | | | | | | 5. Relationship of Reporti (Check all applicable) Director Officer (give title | | | | 10% (| ssuer Owner (specify |
| (Last) 2980 FAI | (Fi | rst) (| (Middle) DRIVE | | | 3. Date of Earliest Transaction (Month/Day/Year) 08/30/2018 | | | | | | | | | belov | N) | | below ission Sys |)` ' |
| (Street) FALLS CHURCI | LS VA 22042 | | | | 4. 11 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| (City) | (St | ate) (| (Zip) | | | | | | | | | | | | | | | | |
| | | Tab | le I - I | Non-Deriv | /ative | Sec | urities | s A | cquir | ed, D | isposed o | of, or E | Benefic | ially | / Own | ed | | | |
| | | | 2. Transaction Date (Month/Day/Year) | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | ´ | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and | | | 5) | Beneficially Owned Following | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership | |
| | | | | | | | | | Code | v | Amount | (A) or (D) | (A) or (D) Price | | Reported Transaction(s) (Instr. 3 and 4) | | | | (Instr. 4) |
| Common Stock (| | | 08/30/20 |)18 | | | | S ⁽¹⁾ | | 1,300 | D | \$298.3 | 32 ⁽²⁾ 24,5 | | 592.31 | | D | | |
| Common Stock | | | 08/30/2018 | | | | | S ⁽¹⁾ | | 1,400 | D | \$296.4 | 41 ⁽³⁾ | 23,1 | 192.31 | | D | | |
| Common | Stock | | | 08/30/20 | 018 | | | | S ⁽¹⁾ | | 1,843 | D | \$297.6 | 53 ⁽⁴⁾ | 21,349.31 D | | | | |
| Common Stock | | | | | | | | | | | | | | | 34. | 9935 | | I | Held in Northrop Grumman Savings & Investment Plan ⁽⁵⁾ |
| | | Та | able I | | | | | | | | posed of, convertib | | | | wned | | | | |
| Derivative Conversion Date Ex. Security or Exercise (Month/Day/Year) if a | | Execu | | | action (Instr. | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exe | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4) | | 8. Price of Derivative Security (Instr. 5) | | 9. Number derivative Securities Securities Owned Following Reported Transactio (Instr. 4) | Ov Fo Dii or (I) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | Code | v | (A) | (D) | Date) Exercisable | | Expiration Date | Title | Amount or Number of Shares | | | | | | | | |

Explanation of Responses:

- 1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- 2. Represents the weighted average sale price of \$298.32 rounded to the nearest hundredth. The highest price at which the shares were sold was \$298.46 and the lowest price at which the shares were sold was \$298.03. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote in this Form 4.
- 3. Represents the weighted average sale price of \$296.41 rounded to the nearest hundredth. The highest price at which the shares were sold was \$296.75 and the lowest price at which the shares were sold was \$296.18. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote in this Form 4.
- 4. Represents the weighted average sale price of \$297.63 rounded to the nearest hundredth. The highest price at which the shares were sold was \$297.88 and the lowest price at which the shares were sold was \$297.12. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote in this Form 4.
- 5. Held in the Northrop Grumman Savings and Investment Plan (the "Plan"), a qualified profit sharing plan, as of August 30, 2018. Share totals with respect to the Plan are based upon unit accounting and therefore may reflect a change in units attributable to an individual though no acquisition or disposition occurred.

/s/ Jennifer C. McGarey, 09/04/2018 Attorney-in-Fact

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.