## SEC Form 4

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP 

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940


Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security(Instr. 3) | 2. <br> Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. <br> Transaction Code (Instr. 8) |  | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |  | 6. Date Exercisable and Expiration Date (Month/Day/Year) |  | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |  | 8. Price of Derivative Security(Instr. 5) | 9. Number of derivative Securities Beneficially Owned <br> Following Reported Transaction(s) (Instr. 4) | 10. <br> Ownership <br> Form: <br> Direct (D) <br> or Indirect <br> (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership(Instr. 4) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | Code | v | (A) | (D) | Date <br> Exercisable | $\begin{aligned} & \text { Expiration } \\ & \text { Date } \end{aligned}$ | Title | Amount or Number of Shares |  |  |  |  |
| Restricted Performance Stock Rights | (3) | 02/12/2020 |  | A |  | 1,883.66 ${ }^{(4)}$ |  | (3) | (3) | $\begin{gathered} \text { Common } \\ \text { Stock } \end{gathered}$ | 1,883.66 | \$0.0000 | 27,796.66 ${ }^{(5)}$ | D |  |
| Restricted Performance Stock Rights | (3) | 02/12/2020 |  | M |  |  | 11,797.66 ${ }^{(1)}$ | (3) | (3) | $\begin{aligned} & \text { Common } \\ & \text { Stock } \end{aligned}$ | 11,797.66 | \$0.0000 | 15,999 ${ }^{(6)}$ | D |  |

Explanation of Responses:
 ("measurement period") that ended 12/31/19. RPSRs to be settled at $119 \%$ of target. The shares are anticipated to be distributed into the participant's account on February 14,2020 .
2. Shares surrendered to issuer in payment of tax liability in connection with settlement of RPSRs in accordance with Rule 16b-3(e)
 performance metric is satisfied for the relevant measurement period. Grants awarded pursuant to Rule 16b-3(d).
4. These vested RPSRs were acquired with respect to the measurement period ended 12/31/19 due to settlement of the 2017 RPSRs held at $119 \%$ of the target award.
 RPSRs granted on $2 / 13 / 18$ with a measurement period ending on $12 / 31 / 20$.
6. Total amount includes 9,038 RPSRs granted on $2 / 13 / 19$ with a measurement period ending on $12 / 31 / 21$; and 6,961 RPSRs granted on $2 / 13 / 18$ with a measurement period ending on $12 / 31 / 20$.

| /s/ Jennifer C. McGarey, Attorney- | $\underline{02 / 14 / 2020}$ |
| :--- | :--- |
| in-Fact Date |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. $78 \mathrm{ff}(\mathrm{a})$.
Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

