FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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## Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Purvis Shawn N |   |  |  |                                    | 2. Issuer Name and Ticker or Trading Symbol NORTHROP GRUMMAN CORP /DE/ [ NOC ] |   |  |                    |  |               |                            |  |   | ck all applicat      | ole)  | 10% Ow   |  | wner   |   |  |  |
|--|---|--|--|------------------------------------|--|---|--|--------------------|--|---------------|----------------------------|--|---|----------------------|---|--|--|--|---|--|--|
| (Last) 2980 FAIR   | (Fir  | st)<br>RK DRIVE                            | (Middle)                                       |                                    |  | 3. Date of Earliest Transaction (Month/Day/Year) 02/12/2020 |  |                    |  |               |                            |  |   |                      | below)  CVP, Pres, Enterpr  |  |  | below)   |   |  |  |
| (Street) FALLS CHURCH                                    | VA  |  | 22042  |                                    |  |   | 4. If Amendment, Date of Original Filed (Month/Day/Year) |                    |  |               |                            |  |   |                      |   | Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person |  |  |   |  |  |
| (City)   | (Sta  | ate)                                       | (Zip)  |                                    |  |   |  |                    |  |               |                            |  |   |                      | ,   |  |  |  |   |  |  |
|  |   | Та   | ble I - No                                     |                                    |  |   |  |                    |  | l, Dis        | posed of,                  |  |   | ally (               |   |  |  |  |   |  |  |
| 1. Title of Security (Instr. 3)                          |   | 2. Transaction Date (Month/Day/Year)       |  | ar) i                              | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)                    |   | 3.<br>Transaction<br>Code (Instr.<br>8)                  |                    | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 ar |               | A) or<br>, 4 and           | 1 5)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following |                      | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) |  | 7. Nature of Indirect Beneficial Ownership |  |   |  |  |
|  |   |  |  |                                    |  |   | Code   | v                  | Amount   | (A) or<br>(D) | Price                      |  | Reported<br>Transaction<br>(Instr. 3 and                      |                      |   |  | Instr. 4)                                  |  |   |  |  |
| Common Stock   |   |  | 02/12/2020                                     |                                    | 0  |   |  | M                  |  | 1,994(1)      | A                          | \$0.0  | 0000 4,847.42   |                      | 42  | 12 D   |  |  |   |  |  |
| Common Stock   |   |  | 02/12/2020                                     |                                    | 0  |   |  | F                  |  | 610(2)        | D                          | \$0.0  | 000   | 4,237.42             |   | D  |  |  |   |  |  |
| Common S   | Common Stock  |  |  |                                    |  |   |  |                    |  |               |                            |  |   |                      | 5,002.2017  |  | I  |  | By<br>Spouse <sup>(3)</sup>             |  |  |
| Common Stock   |   |  |  |                                    |  |   |  |                    |  |               |                            |  |   | 2,500.2572           |   | I  |  | Held in<br>Northrop<br>Grumman<br>Savings &<br>Investment<br>Plan <sup>(3)</sup> |   |  |  |
|  |   |  | Table II                                       |                                    |  |   |  |                    |  |               | osed of, or<br>convertible |  |   |                      | wned  |  |  |  |   |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)      | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deeme<br>Execution<br>if any<br>(Month/Day | d 4.<br>Date, Transact<br>Code (In |  | ction   | 5. Number of Derivative                                  |                    | 6. Date Exe<br>Expiration<br>(Month/Day                          |               | ercisable and<br>Date      | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |   | urity                | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)               | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported   |  | 10.<br>Ownersh<br>Form:<br>Direct (D)<br>or Indirec<br>(I) (Instr.               | Beneficial<br>Ownership<br>t (Instr. 4) |  |  |
|  |   |  |  |                                    | Code   | ٧   | (A)  | (D)                | Dat<br>Exe   | e<br>rcisable | Expiration<br>e Date       | Title  | or<br>Nur<br>of   | ount<br>mber<br>ares | Transacti<br>(Instr. 4)   |  | ction(s)                                   |  |   |  |  |
| Restricted<br>Performance<br>Stock Rights                | (4)   | 02/12/2020                                 |  |                                    | Α  |   | 4,602 <sup>(5)</sup>                                     |                    | (4)  |               | (4)                        | Common<br>Stock  | 4,0   | 602                  | \$0.0000  | 13,8   | 827 <sup>(6)</sup> D                       |  |   |  |  |
| Restricted<br>Performance<br>Stock Rights                | (4)   | 02/12/2020                                 |  |                                    | M  |   |  | 1,994 <sup>(</sup> | 1)   | (4)           | (4)                        | Commor<br>Stock  | 1,9   | 994                  | \$0.0000  | 11,833 <sup>(7)</sup>  |  | D  |   |  |  |
| Restricted<br>Stock Rights                               | (8)   | 02/12/2020                                 |  | A                                  |  |   | 1,527  |                    |  | (8)           | (8)                        | Commor<br>Stock  | 1,  | 527                  | \$0.0000 5,5  |  | 50 <sup>(9)</sup>                          | D  |   |  |  |

## **Explanation of Responses:**

- 1. Shares expected to be issued in settlement of Restricted Performance Stock Rights ("RPSRs") granted under the 2011 Long-Term Incentive Stock Plan ("LTISP") on 2/17/17 with a valuation of performance measurement period ("measurement period") that ended 12/31/19. RPSRs to be settled at 200% of target. The shares are anticipated to be distributed into the participant's account on February 14, 2020.
- 2. Shares surrendered to issuer in payment of tax liability in connection with settlement of RPSRs in accordance with Rule 16b-3(e)
- 3. Held in the Northrop Grumman Savings and Investment Plan (the "Plan"), a qualified profit sharing plan, as of February 12, 2020. Share totals with respect to the Plan are based upon unit accounting and therefore may reflect a change in units attributable to an individual though no acquisition or disposition occurred.
- 4. Each RPSR represents a contingent right to receive an equivalent number of shares of Issuer common stock, or, at the Issuer's election, cash or a combination of cash and Issuer common stock. The RPSRs vest if the applicable performance metric is satisfied for the relevant measurement period. Grants awarded pursuant to Rule 16b-3(d).
- 5. The RPSRs acquired include (i) 997 vested RPSRs with respect to the measurement period ended 12/31/19 acquired due to settlement of the 2017 RPSRs held at 200% of the target award and (ii) 3,605 unvested RPSRs granted under the LTISP on 2/12/20 with a measurement period ending on 12/31/22.
- 6. Total amount includes 1,994 vested RPSRs granted under the LTISP on 2/17/17 with a measurement period ended on 12/31/19; 3,605 RPSRs granted on 2/12/20 with a measurement period ending on 12/31/22; 4,648 RPSRs granted on 2/13/19 with a measurement period ending on 12/31/21; and 3,580 RPSRs granted on 2/13/18 with a measurement period ending on 12/31/20.
- 7. Total amount includes 3,605 RPSRs granted on 2/12/20 with a measurement period ending on 12/31/22; 4,648 RPSRs granted on 2/13/19 with a measurement period ending on 12/31/21; and 3,580 RPSRs granted on 2/13/18 with a measurement period ending on 12/31/20.
- 8. Each Restricted Stock Right ("RSR") represents a contingent right to receive an equivalent number of shares of Issuer common stock, or, at the election of the Issuer's Compensation Committee, cash or a combination of cash and Issuer common stock. The RSRs were granted under the LTISP on 2/12/20 and will vest on 2/12/23.
- 9. Total amount includes 1,527 RSRs granted under the LTISP on 2/12/20 that will vest on 2/12/23; 1,970 RSRs granted under the LTISP on 2/13/19 that will vest on 2/13/22; 1,626 RSRs granted under the LTISP on 2/13/18 that will vest on 2/13/21; and 427 RSRs granted under the LTISP on 2/17/17 that will vest on 2/18/20.

/s/ Jennifer C. McGarey, Attorney-in-Fact

02/14/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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